



05-09-05

IFW

EXPRESS MAIL LABEL NO.: EV524683400US

PATENT APPLICATION

Docket No.: 13768.493

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James S. Miller, et al.

Serial No.: 10/772,992

) Art Unit

Filed: February 5, 2004

) 2122

Confirmation No.: 5289

For: VERSIONING SUPPORT IN OBJECT-
ORIENTED PROGRAMMING LANGUAGES
AND TOOLS

Examiner: Not Yet Known

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§

102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Dated this ____ day of _____, 2005.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rick D. Nydegger", written in a cursive style.

RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651
Customer No. 47973

RDN:kcs
KCS0000005582V001

EXPRESS MAIL LABEL NO.: EV524683400US
Form PTO-1449



Sheet 1 of 1

Applicant: James S. Miller, et al.

Serial No.: 10/772,992

Att'y Docket No.: 13768.493

Filing Date: February 5, 2004

Group: 2122

Confirmation No.: 5389

For: VERSIONING SUPPORT IN OBJECT-ORIENTED PROGRAMMING
LANGUAGES AND TOOLS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	6,658,659	12/02/03	Hiller, et al.	717	170	12/16/99

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): James S. Miller, et al.

Docket No.
13768.493Serial No.
10/772,992Filing Date
February 5, 2004Confirmation No.
5389Examiner
Not KnownGroup Art Unit
2122

Invention: VERSIONING SUPPORT IN OBJECT-ORIENTED PROGRAMMING LANGUAGES AND TOOLS

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pg2); Form PTO-1449 Listing all Cited References (1 pg); and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 6, 2005.

Kendell Steele

(Typed or Printed Name of Person Mailing Correspondence)

*(Signature of Person Mailing Correspondence)***EV 524683400 US**

("Express Mail" Mailing Label Number)

KCS0000005584V001

RICK D. NYDEGGER
 BRENT P. LORIMER
 THOMAS R. VUKSINICK
 LARRY R. LAYCOCK
 JONATHAN W. RICHARDS
 DAVID R. WRIGHT
 JOHN C. STRINGHAM
 JOHN M. GUYNN
 CHARLES L. ROBERTS
 DANA L. TANGREN
 ERIC L. MASCHOFF
 CHARLES J. VEVEKA
 ROBYN L. PHILLIPS
 RICHARD C. GILMORE *
 STERLING A. BRENNAN
 R. BURNS ISRAELSEN
 DAVID R. TODD
 DAVID B. DELLENBACH
 L. DAVID GRIFFIN
 ADRIAN J. LEE
 FRASER D. ROY
 CARL T. REED



WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER
 60 EAST SOUTH TEMPLE
 SALT LAKE CITY, UTAH 84111
 TELEPHONE: (801) 533-9800
 FAX: (801) 328-1707
 WEBSITE: HTTP://WWW.WNLAW.COM

EXPRESS MAIL LABEL NO.: EV 524683400 US PATENT APPLICATION
 Docket No.: 13768.493

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT



Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of one (1) reference submitted for consideration.
- ☐ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified

R. PARRISH FREEMAN, JR.
 PETER F. MALEN, JR.
 L. REX SEARS, PH.D.
 WILLIAM R. RICHTER
 ERIC M. KAMERATH
 ROBERT E. AYCOCK
 JENS C. JENKINS
 KEVIN W. STINGER
 WILLIAM J. ATHAY
 MICHAEL B. DODD
 RYAN D. BENSON
 SARA D. JONES
 TIGE KELLER
 JANNA L. JENSEN
 MATTHEW D. TODD
 J. LAVAR OLDHAM
 MICHAEL J. FRODSHAM
 JOSEPH L. KRUPA
 BRETT A. HANSEN
 BRETT I. JOHNSON
 MATTHEW A. BARLOW
 WESLEY C. ROSANDER
 ANDREW S. HANSEN
 CHAD E. NYDEGGER
 JOSEPH G. PIA
 CLINTON E. DUKE
 RYAN N. FARR *
 JAMES B. BELSHE
 KIRK R. HARRIS
 KEELY SCHNEITER
 MICHAEL M. BALLARD
 DAVID A. JONES
 SHANE K. JENSEN
 JONATHAN M. BENNS, PH.D.

VERNON R. RICE §
 OF COUNSEL



prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

___ Legible Copies of previously filed Information Disclosure Statement for application Serial No. ___, filed ___.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

___ Promptness Certification.

___ Check No. _____ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);

___ Petition for Consideration and Check No. _____ in the amount of \$_____.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 6th day of May, 2005.

Respectfully submitted,

RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651
Customer No. 47973